



3628
#15 or
5.21.03

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
Attorney Docket No. 12770US01

In the Application of:)

Doyle et al.)

Serial No.: 09/273,673)

Express Mail No. EV 164032984 US

Filed: March 22, 1999)

Date: May 7, 2003

For: Computer Apparatus and Method)
for Trading and Clearing Futures)
Contracts to Accommodate a)
Variable Sensitivity Related to the)
General Level of Interest Rates)

Examiner: Clement B. Graham)

Group Art Unit: 3628)

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GROUP 3600

37 CFR 1.97(e) Statement

Assistant Commissioner for Patents
Washington, D.C. 20231

This is a statement under the provisions of 37 CFR 1.97(e)(2) in the above-identified application.

No item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

The references disclosed in the accompanying statement were cited in an Office Action in a continuation case of the above-identified application. While the Office Action was received on July 15, 2002, the Office Action was not examined in detail or addressed until October 22, 2002, which was less than three months prior to the filing of the Information Disclosure Statement on January 9, 2003. Therefore, the applicant submits that this submission is in compliance with 37 CFR 1.97(e)(2).

Signed:

Christopher N. George
Christopher N. George

Date:

5/7/03

REMARKS

The above-identified application includes claims 1-20. Claim 19 has been cancelled. Claims 1-18 and 20 have been allowed.

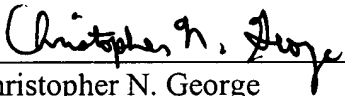
The Applicant apologizes for inadvertently omitting the 37 CFR 1.97(e) statement with the IDS filed on January 9, 2003. A copy of the IDS as filed on January 9, 2003, is enclosed with the 1.97(e) statement.

Should anything remain in order to place the present application in condition for allowance, the Examiner is kindly invited to contact the undersigned at the telephone number listed below.

Please charge any additional fees or credit overpayment to the Deposit Account of McAndrews, Held & Malloy, Ltd., Account No. 13-0017.

Respectfully submitted,

Date: May 7, 2003



Christopher N. George
Registration No. 51,728
Attorney for Applicant

McAndrews, Held & Malloy, Ltd.
500 West Madison Street, 34th Floor
Chicago, Illinois 60661
Telephone: (312) 775-8000
Facsimile: (312) 775-8100